Retirement Documents Checklist:

Please bring the following documents with you to your first appointment. We will review with you during your first appointment.

	Checklist of Needed Documents:
Personal Files	☐ Latest Income Tax Returns ☐ Social Security Statements ☐ Wills ☐ Trust Agreements ☐ Other ________________________
Employer	☐ Payroll or Other Income Statements ☐ Employee Benefits Booklets ☐ Retirement Savings Plans (401k, 403B, Def Comp, etc.) ☐ Pension Plan Calculation Worksheet ☐ Other ________________________
Broker or Mutual Fund, Bond or Stock	☐ Latest Monthly Statements ☐ Certificates Stock or Bond ☐ Other ________________________
Insurance Company	☐ Life Insurance Statements ☐ Annuity Account Statements ☐ Disability Income Insurance Policy Information ☐ Long-Term Care Policy Information ☐ Other ________________________
Business	☐ Buy-Sell Agreements ☐ Deferred Compensation Agreements ☐ Stock/Option/Bonus Plans ☐ Other ________________________

The Financial Guys, LLC
305 Spindrift Drive, Williamsville, NY 14221
Phone: 716.633.1515
Fax: 716.633.1333
www.thefinancialguys.com